



Alabama State Board of Licensure for  
Professional Engineers and Land Surveyors

100 N. Union Street, Suite 382  
Montgomery, Alabama 36104-3762

Mailing Address:  
P.O. Box 304451  
Montgomery, AL 36130-4451

Phone: (334) 242-5568  
Toll Free: (866) 461-7640  
Fax: (334) 242-5105  
[www.bels.alabama.gov](http://www.bels.alabama.gov)

Robert J. Bentley  
Governor

May 3, 2012

Regina A. Dinger  
Executive Director

Ms. Beth Chapman  
Secretary of State  
State House  
Montgomery, AL 36130

Dear Ms. Chapman:

We are enclosing, for your files, a copies of the Seventy-Sixth Annual Report of the Board submitted to the Governor this date.

Yours very truly,

*Regina A. Dinger*

Regina A. Dinger  
Executive Director *bk*

/bk

Enclosure

cc: Department of Archives & History  
with copy of Annual Reports



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March 16, 2012

To His Excellency  
Honorable Robert J. Bentley  
Governor, State of Alabama  
Montgomery, Alabama

Dear Governor Bentley:

We hand you herewith the Seventy-Sixth Annual Report of the Alabama State Board of Licensure for Professional Engineers and Land Surveyors as provided for under Title 34, Chapter 11, Code of Alabama 1975.

Respectfully submitted,

C. Michael Arnold, Chair, 2010-2011  
Alabama State Board of Licensure  
For Professional Engineers and  
Land Surveyors

# SEVENTY-SIXTH ANNUAL REPORT TO THE GOVERNOR

BY THE

## STATE BOARD OF LICENSURE FOR PROFESSIONAL ENGINEERS

### AND LAND SURVEYORS

The Alabama State Board of Licensure for Professional Engineers and Land Surveyors is organized under the provisions of the Alabama Law regulating the Practice of Engineering and Land Surveying, Chapter 11, Code of Alabama 1975. Section 34-11-30 provides that the Board shall have the duty of administering the provisions of the Licensure Law, and shall consist of five professional engineers and two professional land surveyors, each of whom shall be appointed by the Governor from three persons nominated by a committee. Members of the Board serve for staggered five year terms.

Members of the Board, the dates of expiration of their terms of appointment, and their classifications during the year were:

Don T. Arkle	April 22, 2011	Professional Engineer
William C. Ulrich, Jr.	April 22, 2012	Professional Engineer
C. Michael Arnold	April 23, 2013	Professional Land Surveyor
W. Gerald Wilbanks	April 22, 2014	Professional Engineer
Daniel S. Turner	July 31, 2014	Professional Engineer
Phillip E. Santora	July 31, 2014	Professional Land Surveyor
Earl R. Foust	April 22, 2015	Professional Engineer

The following officers having been elected on July 16, 2010, served for the State fiscal year 2010-2011: Mr. C. Michael Arnold, Chair, Mr. William C. Ulrich, Vice-Chair, and Mr. W. Gerald Wilbanks, Secretary.

The following officers were elected on August 2, 2011 for the State fiscal year 2011-2012: Mr. W. Gerald Wilbanks, Chair, Mr. C. Michael Arnold, Vice-Chair, and Dr. Daniel S. Turner, Secretary.

During the 2010-2011 fiscal year, the Board of Licensure for Professional Engineers and Land Surveyors held five regular meetings to review applications, consider complaints and to conduct other regular business.

Statistical information regarding the Professional Engineers, Professional Land Surveyors, Engineer-Interns, Land Surveyor-Interns, Certificates of Authorization, Examinations, and Enforcement is submitted on pages 2-6 of this report.

A complete statement of the receipts and expenditures of the Board during the fiscal year 2010-2011 is submitted on pages 7-9.

**STATISTICAL INFORMATION FOR FISCAL YEAR 2010-2011**

October 1, 2010 - September 30, 2011

**PROFESSIONAL ENGINEERS AND LAND SURVEYORS**

	PE	PLS	TOTAL
<u>APPLICATIONS</u>			
Received and Processed			
By Examination	282	33	315
By Comity	597	0	597
	<u>879</u>	<u>33</u>	<u>912</u>
 <u>LICENSURES</u>			
Certificates Issued			
By Examination	214	35	249
By Comity	556	0	556
	<u>770</u>	<u>35</u>	<u>805</u>
 <u>RENEWALS</u>			
Renewals Processed	13,696	1,281	14,977
 <b>IN GOOD STANDING 10/01/10</b>	 <b>14,082</b>	 <b>1,313</b>	 <b>15,395</b>
<u>GAINS</u>			
Licensed	770	35	805
Reinstatement of Revoked	2	1	3
Reinstatement of Lapsed	49	0	49
Suspension Lifted	0	0	0
	<u>821</u>	<u>36</u>	<u>857</u>
 <u>LOSSES</u>			
Deceased	(14)	(4)	(18)
Lapsed (Did Not Renew)	(449)	(33)	(482)
Suspended	0	0	0
Surrendered	(1)	0	(1)
Revoked	0	(2)	(2)
	<u>(464)</u>	<u>(39)</u>	<u>(503)</u>
 <b>IN GOOD STANDING 09/30/11</b>	 <b>14,439</b>	 <b>1,310</b>	 <b>15,749</b>
 Increase (Decrease)	 357	 (3)	 354
 Current Status of Licensees			
Active	13,496	1,211	14,707
Inactive	304	40	344
Retired	639	59	698

**STATISTICAL INFORMATION FOR FISCAL YEAR 2010-2011**  
**October 1, 2010 - September 30, 2011**

**ENGINEER INTERNS / LAND SURVEYOR INTERNS**

	Engineer Interns	LS Interns	Total
<u>APPLICATIONS</u>			
Received and Processed	767	18	785
<u>CERTIFICATIONS</u>			
Certificates Issued During the Year	442	12	454
<u>RENEWALS</u>			
Renewals Processed	1,581	24	1,605
<b>In Good Standing 10/01/10</b>	<b>1,773</b>	<b>30</b>	<b>1,803</b>
<u>GAINS</u>			
Certified	442	12	454
Reinstated	79	1	80
<u>LOSSES</u>			
Deceased	0	0	0
Licensed as PE / LS	(150)	(8)	(158)
Did not Renew	(225)	(5)	(230)
<b>In Good Standing 09/30/11</b>	<b>1,919</b>	<b>30</b>	<b>1,949</b>
Increase (Decrease)	146	0	146

**STATISTICAL INFORMATION FOR FISCAL YEAR 2010-2011**  
**October 1, 2010 - September 30, 2011**

**CERTIFICATES OF AUTHORIZATION**

	<b>ENGINEERING</b>	<b>LAND SURVEYING</b>	<b>TOTAL</b>
<u>APPLICATIONS</u>			
Received and Processed	237	44	281
<u>CERTIFICATIONS</u>			
Certificates of Authority Issued	225	42	267
<u>RENEWALS</u>			
Renewals Processed	2,028	408	2,436
<b>CERTIFICATES CURRENT</b>			
<b>OCTOBER 1, 2010</b>	<b>2,145</b>	<b>436</b>	<b>2,581</b>
<u>GAINS</u>			
New Certificates	225	42	267
Reinstatements	11	1	12
	<hr/> 236	<hr/> 43	<hr/> 279
<u>LOSSES</u>			
Lapsed - Did Not Renew	(123)	(32)	(155)
Revoked	0	0	0
	<hr/> (123)	<hr/> (32)	<hr/> (155)
<b>CERTIFICATES CURRENT</b>			
<b>SEPTEMBER 30, 2010</b>	<b>2,258</b>	<b>447</b>	<b>2,705</b>
Increase (Decrease)	113	11	124

**STATISTICAL INFORMATION FOR FISCAL YEAR 2010-2011****October 1, 2010 - September 30, 2011****EXAMINATIONS**

	<b>Number Given</b>	<b>Number Passed</b>	<b>% Passed</b>	<b>Number Failed</b>
Engineering Examination I Fundamentals of Engineering 8 Hour Written	966	537	56%	429
Engineering Examination II Principles and Practice 8 Hour Written	456	219	48%	237
Land Surveying Exam I Fundamentals of Land Surveying	30	13	43%	17
Land Surveying Exam II Principles and Practice 8 Hour Written	37	20	54%	17
Alabama Land Surveying Exam III History & Law plus Public Domain 2 Hour Written	33	25	76%	8

STATISTICAL INFORMATION FOR FISCAL YEAR 2010-2011

October 1, 2010 - September 30, 2011

**ENFORCEMENT**

	<b>LICENSEES</b>	<b>NON-LICENSEES</b>	<b>EXAMINATION APPLICANT</b>	<b>TOTAL</b>
<b><u>Number of Complaints</u></b>				
Received 10/01/10 - 09/30/11	37	15	0	52
Pending from Previous Year	<u>12</u>	<u>6</u>	<u>0</u>	<u>18</u>
<b>Total</b>	<b>49</b>	<b>21</b>	<b>0</b>	<b>70</b>
<b>Formal Hearings Conducted</b>	0	0	0	0
<b>Informal Hearings Conducted</b>	0	0	0	0
<b>Negotiated Settlements</b>	8	6	0	14
<b><u>Complaints/Investigations Closed</u></b>				
<b>Informal Action</b>				
No Action Required	15	2	0	17
Letter of Caution	4	2	0	6
Letter of Reprimand	1	1	0	2
Complaint Withdrawn	0	0	0	0
<b>Formal Action</b>	9	6	0	15
<b>Total Closed</b>	<b><u>29</u></b>	<b><u>11</u></b>	<b><u>0</u></b>	<b><u>40</u></b>
<b><u>Complaints/Investigations Pending</u></b>				
Action by Board, Investigator and/or Attorney General as of September 30, 2011	20	10		30

STATISTICAL INFORMATION FOR FISCAL YEAR 2010-2011

October 1, 2010 - September 30, 2011

Balance Sheet (Cash Basis)

September 30, 2011

Unaudited

	<u>Special Revenue Fund 0359</u>	<u>General Asset Account</u>	<u>Total Memorandum Only</u>
<b><u>ASSETS</u></b>			
Cash	\$301,776.28		\$301,776.28
Office Furniture and Equipment		\$214,502.06	\$214,502.06
	<b>\$301,776.28</b>	<b>\$214,502.06</b>	<b>\$516,278.34</b>
<b><u>FUND EQUITY</u></b>			
Investment in General Fixed Assets		\$214,502.06	\$214,502.06
<b><u>FUND BALANCE</u></b>			
Revenue Deferred for October Exams	\$127,765.00		\$127,765.00
Reservation of Appropriation Authority for Unpaid Items At Year End	\$157,195.10		\$157,195.10
Unreserved Fund Balance	\$16,816.18		\$16,816.18
	<b>\$301,776.28</b>	<b>\$214,502.06</b>	<b>\$516,278.34</b>

**STATEMENT OF REVENUES & EXPENDITURES**  
**and Changes in Fund Balance, Special Revenue Fund 0359**  
**October 1, 2010 through September 30, 2011**  
**Unaudited**

**Revenues**

Renewal Fees	698,913.50		
Penalties	68,153.50		
Reinstatements	21,740.00		
Application/Licensure Fees	91,055.00		
Examination Fees	270,635.00		
Interim Permits	0.00		
Fines	25,329.50		
Cost of Board Actions	1,701.36		
Less Civil Penalties	(9,879.50)		
Sale of Labels	1,800.00		
Duplicate Certificates	75.00		
Sale of Surplus, Misc.			
Bank Adjustment	(70.00)		
Returned Check Charge	390.00		
Returned Checks	40.00		
Total Receipts		\$1,169,883.36	
Plus FY 2010 Deferred Revenue		128,415.00	
Less FY 2012 Exam Receipts Deferred		(127,765.00)	
<b>Total FY 2011 Recognized Revenues</b>			<b>\$1,170,533.36</b>

**Expenditures**

Personnel Costs	442,887.56		
Employee Benefits	167,432.68		
Travel-in-State	15,587.92		
Travel-out-of-State	26,069.27		
Repairs and Maintenance	184.89		
Rentals and Leases	118,311.12		
Utilities and Communications	29,299.75		
Professional Services	334,699.19		
Supplies, Mat, & Oper Expenses	45,920.63		
Transportation Equip Operations	1,097.70		
Transportation Equip Purchases	0.00		
Other Equipment Purchases	0.00		
Total Expenditures		\$1,181,490.71	
Identified FY 2011 Encumbrances		157,195.10	
<b>Total FY 2011 Expenditures &amp; Encumbrances</b>			<b>\$1,338,685.81</b>

**Revenues Exceed Expenditures**

**Net Increase (Decrease) in Fund Balance During the Year** **(\$168,152.45)**

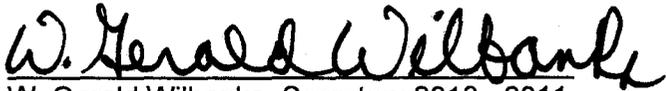
<b>Fund Balance October 1, 2010</b>	<b>\$78,735.34</b>
Plus FY 10 Encumbrances Not Used	<b>106,188.70</b>
Plus Sale of Surplus	<b>44.59</b>
<b>Fund Balance September 30, 2011</b>	<b>\$16,816.18</b>

**Statement of Changes in  
General Fixed Assets  
October 1, 2010 through September 30, 2011**

*Unaudited*

	Balance October 1, 2010	Additions	Reductions	Balance September 30, 2011
Office Furniture and Equipment	\$214,502.06	\$0	\$0	\$214,502.06
Total	\$214,502.06	\$0	\$0	\$214,502.06

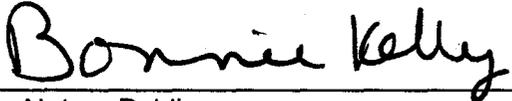
I, W. Gerald Wilbanks, Secretary of the State Board of Licensure for Professional Engineers and Land Surveyors do hereby certify that to the best of my knowledge and belief, this Financial Statement is a correct statement of the finances of this Board for the period shown:

  
W. Gerald Wilbanks, Secretary 2010 - 2011

ATTEST:

  
C. Michael Arnold, Chair 2010-2011

Sworn to and subscribed before me this  
The 16th day of March, 2012

  
Notary Public