Presson L. Jackson, PE Indian Springs Chair

> Al. I. Reisz, PE Huntsville Vice-Chair

Don T. Arkle, PE Prattville Secretary

Veston W. Bush, Jr., PLS Abbeville

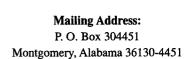
William C. Ulrich, Jr., PE Athens

Regina A. Dinger Executive Director

#### State of Alabama

# Board of Licensure for Professional Engineers and Land Surveyors





**Telephone Number** (334) 242-5568

**Fax Number** (334) 242-5105

February 22, 2008

To His Excellency Honorable Bob Riley Governor, State of Alabama Montgomery, Alabama

Dear Governor Riley:

We hand you herewith the Seventy-Second Annual Report of the Alabama State Board of Licensure for Professional Engineers and Land Surveyors as provided for under Title 34, Chapter 11, <u>Code of Alabama</u> 1975.

Respectfully submitted,

Veston Bush, Chair, 2006-2007 Alabama State Board of Licensure For Professional Engineers and Land Surveyors

# SEVENTY-SECOND ANNUAL REPORT TO THE GOVERNOR BY THE

# STATE BOARD OF LICENSURE FOR PROFESSIONAL ENGINEERS AND LAND SURVEYORS

The Alabama State Board of Licensure for Professional Engineers and Land Surveyors is organized under the provisions of the Alabama Law regulating the Practice of Engineering and Land Surveying, Chapter 11, <u>Code of Alabama</u> 1975. Section 34-11-30 provides that the Board shall have the duty of administering the provisions of the Licensure Law, and shall consist of four professional engineers and one professional land surveyor, each of whom shall be appointed by the Governor from three persons nominated by a committee. Members of the Board serve for staggered five year terms.

Members of the Board, the dates of expiration of their terms of appointment, and their classifications during the year were:

Lynn C. Doyle	July 31, 2006	Professional Engineer
Charles D. Haynes	April 22, 2007	Professional Engineer
Veston W. Bush, Jr.	April 22, 2008	Professional Land Surveyor
Preston L. Jackson	April 22, 2009	Professional Engineer
Aloysius Reisz	April 22, 2010	Professional Engineer
Don T. Arkle	April 22, 2011	Professional Engineer
William C. Ulrich, Jr.	April 22, 2012	Professional Engineer

Mr. Arkle replaced Mr. Doyle on November 16, 2006. Mr. Ulrich replaced Dr. Haynes on August 30, 2007.

The following officers having been elected on June 8, 2006, served for the State fiscal year 2006-2007: Mr. Veston Bush Jr., Chair, Mr. Preston L. Jackson, Vice-Chair, and Mr. Aloysius Reisz, Secretary.

The following officers were elected on June 8, 2007 for the State fiscal year 2007-2008: Mr. Preston L. Jackson, Chair, Mr. Aloysius Reisz, Vice-Chair, and Mr. Don T. Arkle, Secretary.

During the 2006-2007 fiscal year, the Board of Licensure for Professional Engineers and Land Surveyors held eight regular meetings to review applications, consider complaints and to conduct other regular business.

Statistical information regarding the Professional Engineers, Professional Land Surveyors, Engineer-Interns, Land Surveyor-Interns, Certificates of Authorization, Examinations, and Enforcement is submitted on pages 2-6 of this report.

A complete statement of the receipts and expenditures of the Board during the fiscal year 2006-2007 is submitted on pages 7-9.

### STATISTICAL INFORMATION FOR FISCAL YEAR 2006-2007 October 1, 2006 - September 30, 2007

#### PROFESSIONAL ENGINEERS AND LAND SURVEYORS

	PE	PLS	TOTAL
APPLICATIONS Received and Processed By Examination By Comity	251	133	384
	655	0	655
	906	133	1,039
LICENSURES Certificates Issued By Examination By Comity	182	48	230
	638	0	638
	820	48	868
RENEWALS Renewals Processed Reinstatements Processed	12,194	1,148	13,342
	61	<u>5</u>	66
	12,255	1,153	13,408
IN GOOD STANDING 10/01/06 GAINS Licensed Reinstated Suspension Lifted	820 61 1 882	1,182 48 5 0 53	13,972 868 66 1 935
LOSSES Deceased Lapsed (Did Not Renew) Suspended Revoked	-21	-2	-23
	-483	-33	-516
	0	-3	-3
	0	0	<u>0</u>
	-504	-38	-542
IN GOOD STANDING 09/30/07	13,168	1,197	14,365
Increase (Decrease)	378	15	393
Current Status of Licensees Active Inactive Retired	12,289	1,110	13,399
	257	43	300
	622	44	666

### STATISTICAL INFORMATION FOR FISCAL YEAR 2006-2007 October 1, 2006 - September 30, 2007

#### **ENGINEER INTERNS / LAND SURVEYOR INTERNS**

	Engineer Interns	LS Interns	Total
APPLICATIONS Received and Processed	645	26	671
CERTIFICATIONS Certificates Issued During the Year	324	12	336
RENEWALS Renewals Processed	1,365	17	1,382
TOTAL CERTIFIED 10/01/06 Status Active Delinquent Deceased	<b>5,825</b> 2,063 3,761 1	<b>33</b> 21 12 0	<b>5,858</b> 2,084 3,773
GAINS Certified	324	10	334
LOSSES Licensed as PE / LS	<u>-168</u>		169
TOTAL CERTIFIED 09/30/07 Status Active Delinquent Deceased Revoked	<b>5,981</b> 1,617 4,361 2 1	<b>42</b> 30 12 0	<b>6,023</b> 1,647 <b>4,373</b> 2
Increase (Decrease)	156	9	165

### STATISTICAL INFORMATION FOR FISCAL YEAR 2006-2007 October 1, 2006 - September 30, 2007

### **CERTIFICATES OF AUTHORIZATION**

	ENGINEERING	LAND SURVEYING	G TOTAL
APPLICATIONS Received and Processed	289	43	332
CERTIFICATIONS Certificates of Authority Issued	278	39	317
RENEWALS Renewals Processed	1,545	373	1,918
CERTIFICATES CURRENT OCTOBER 1, 2006	1,621	402	2,023
GAINS New Certificates Reinstatements	278 3 281	39 0 39	317 3 320
LOSSES Lapsed - Did Not Renew	-104	-36	-140
CERTIFICATES CURRENT SEPTEMBER 30, 2007	1,798	405	2,203
Increase (Decrease)	177	3	180

### STATISTICAL INFORMATION FOR FISCAL YEAR 2006-2007

## October 1, 2006 - September 30, 2007

#### **EXAMINATIONS**

	Number Given	Number Passed	% Passed	Number Failed
Engineering Examination I Fundamentals of Engineering 8 Hour Written	708	318	45%	390
Engineering Examination II Principles and Practice 8 Hour Written	406	185	46%	221
Land Surveying Exam I Fundamentals of Land Surveying	108	41	38%	67
Land Surveying Exam II Principles and Practice 8 Hour Written	27	18	67%	9
Alabama Land Surveying Exam III History & Law plus Public Domain 2 Hour Written	44	36	82%	8

### STATISTICAL INFORMATION FOR FISCAL YEAR 2006-2007

# October 1, 2006 - September 30, 2007

#### **ENFORCEMENT**

	LICENSEES	NON-LICENSEES	TOTAL
Number of Complaints			
Received 10/01/06 - 09/30/07	30	18	48
Pending from Previous Year	80	32	112
Total	110	50	160
Formal Hearings Conducted	2	0	2
Informal Hearings Conducted	0	0	0
Negotiated Settlements	5	0	5
Complaints/Investigations Closed			
No Action Required			
No Action	11	9	20
Letter of Caution Letter of Reprimand	2 2	0	2 2
Forwarded to Other Agency	0	0	0
Formal Action	8	0	8
Total Closed	23	9	32
Complaints/Investigations Pending Action by Board, Investigator			
and/or Attorney General			
as of September 30, 2007	87	41	128

# FINANCIAL STATEMENT FOR FISCAL YEAR 2006-2007 Balance Sheet (Cash Basis) September 30, 2007

#### Unaudited

	Special Revenue Fund 0359	General Asset Account	Total Memorandum Only
<u>ASSETS</u>			
Cash	\$598,961.56		\$598,961.56
Office Furniture and Equipment		\$181,483.31	\$181,483.31
	\$598,961.56	\$181,483.31	\$780,444.87
FUND EQUITY	·		
Investment in General Fixed Assets		\$181,483.31	\$181,483.31
FUND BALANCE			
Revenue Deferred for October Exams	\$102,535.00		\$102,535.00
Reservation of Appropriation Authority for Unpaid Items At Year End	\$75,191.34		\$75,191.34
Unreserved Fund Balance	\$421,235.22		\$421,235.22
	\$598,961.56	\$181,483.31	\$780,444.87

#### STATEMENT OF REVENUES & EXPENDITURES and Changes in Fund Balance, Special Revenue Fund 0359 October 1, 2006 through September 30, 2007 Unaudited

R	ev	er	าน	es
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Revenues			
Renewal Fees	637,195.00		
Penalties	190,515.00		
Reinstatements	23,935.00		
Application/Licensure Fees	81,090.00		
Examination Fees	216,255.00		
Interim Permits	450.00		
Fines	5,750.00		
Cost of Board Actions	0.00		
Less Civil Penalties	-1,000.00		
Sale of Rosters	500.00		
Sale of Labels	1,275.00		
Duplicate Certificates	0.00		
Sale of Surplus, Misc.	257.79		
Returned Check Charge	510.00		
Less Returned Checks	-40.00		
Total Receipts		\$1,156,692.79	
Plus FY 2006 Deferred Revenu	e	83,465.00	
Plus FY 2007 IF for Insurance I	Refund	1,434.00	
Less FY 2008 Exam Receipts [	Deferred	(102,535.00)	
Total FY 2007 Recognized Revenu	ues		\$1,139,056.79
Expenditures			
Personnel Costs	\$376,186.32		
Employee Benefits	122,897.31		
Travel-in-State	11,985.95		
Travel-out-of-State	31,911.37		
Repairs and Maintenance	782.73		
Rentals and Leases	82,562.53		
<b>Utilities and Communications</b>	33,925.92		
Professional Services	258,575.53		
Supplies, Mat, & Oper Expenses	66,883.64		
Transportation Equip Operations	550.82		
Transportation Equip Purchases	24,613.88		
Other Equipment Purchases	32,051.66		
Total Expenditures		\$1,042,927.66	
Identified FY 2007 Encumbrance	ces	75,191.34	
Total FY 2007 Expenditures & End	cumbrances		\$1,118,119.00
Revenues Exceed Expenditures			
Net Increase (Decrease) in Fund Balance	During the Year		\$20,937.79
Fund Balance October 1, 2006			\$345,998.52
Plus FY 06 Encumbrances Not Used			54,298.91
Fund Balance September 30, 2007			\$421,235.22
i and Dalance September 30, 2001			ψ <del>-1</del> ∠1,∠33.∠∠

#### Statement of Changes in General Fixed Assets October 1, 2006 through September 30, 2007

#### Unaudited

	Balance October 1, 2006	Additions	Reductions	Balance September 30, 2007
Office Furniture and Equipment	\$184,789.08	\$ 35,880.16	\$ 39,185.93	<b>\$181,483.31</b>
Total	\$184,789.08	\$ 35,880.16	\$ 39,185.93	<b>\$181,483.31</b>

I, Aloysius Reisz, Secretary of the State Board of Licensure for Professional Engineers and Land Surveyors do hereby certify that to the best of my knowledge and belief, the Financial Statement is a correct statement of the finances of this Board for the period shown:

Aloysius Reisz, Secretary 2006 - 2007

ATTEST:

Veston W. Bush Jr., Chair 2006-2007

Sworn to and subscribed before me this The 22<sup>nd</sup> day of February, 2008

**Notary Public**