

STATE BOARD OF LICENSURE FOR
**PROFESSIONAL ENGINEERS
& LAND SURVEYORS**

SEVENTY-SEVENTH ANNUAL REPORT



SEVENTY-SEVENTH ANNUAL REPORT

The Alabama State Board of Licensure for Professional Engineers and Land Surveyors is organized under the provisions of the Alabama Law regulating the Practice of Engineering and Land Surveying, Chapter 11, Code of Alabama 1975. Section 34-11-30 provides that the Board shall have the duty of administering the provisions of the Licensure Law, and shall consist of five professional engineers and two professional land surveyors, each of whom shall be appointed by the Governor from three persons nominated by a committee. Members of the Board serve for staggered five year terms.

OUR BOARD

Members of the Board, the dates of expiration of their terms of appointment, and their classifications during the year were:

Don T. Arkle	April 22, 2011	Professional Engineer
William C. Ulrich, Jr.	April 22, 2012	Professional Engineer
C. Michael Arnold	April 23, 2013	Professional Land Surveyor
W. Gerald Wilbanks	April 22, 2014	Professional Engineer
Daniel S. Turner	July 31, 2014	Professional Engineer
Phillip E. Santora	July 31, 2014	Professional Land Surveyor
Earl R. Foust	April 22, 2015	Professional Engineer
Marc S. Barter	April 22, 2016	Professional Engineer
Charles P. Willis	April 22, 2017	Professional Engineer

The following officers having been elected on August 1, 2011, served for the State fiscal year 2011-2012: Mr. W. Gerald Wilbanks, Chair, Mr. C. Michael Arnold, Vice-Chair, and Dr. Daniel S. Turner, Secretary.

The following officers were elected on July 27, 2012 for the State fiscal year 2012-2013: Dr. Daniel S. Turner, Chair, Mr. Phillip E. Santora, Vice-Chair, and Mr. Earl R. Foust, Secretary.

INSIDE

During the 2011-2012 fiscal year, the Board of Licensure for Professional Engineers and Land Surveyors held seven regular meetings to review applications, consider complaints and to conduct other regular business.

Statistical information regarding the Professional Engineers, Professional Land Surveyors, Engineer-Interns, Land Surveyor-Interns, Certificates of Authorization, Examinations, and Enforcement is submitted on pages 6-11 of this report.

A complete statement of the receipts and expenditures of the Board during the fiscal year 2011-2012 is submitted on pages 12-13.

STATISTICAL INFORMATION

FISCAL YEAR 2011-2012

PROFESSIONAL ENGINEERS AND LAND SURVEYORS

Applications	PE	PLS	TOTAL
Received & Processed			
By Examination	271	24	295
By Comity	566	0	566
	837	24	861
Licensures	PE	PLS	TOTAL
Received & Processed			
By Examination	223	30	253
By Comity	570	0	570
	793	30	823
Renewals	PE	PLS	TOTAL
Renewals Processed	13,838	1,266	15,104

	PE	PLS	TOTAL
In Good Standing 10/01/2011	14,439	1,310	15,749
<u>Gains</u>			
Licensed	793	30	823
Reinstatement of Revoked	0	0	0
Reinstatement of Lapsed	38	7	45
Suspension Lifted	1	0	1
	832	37	869
<u>Losses</u>			
Deceased	(25)	(7)	(32)
Lapsed (Did not Renew)	(606)	(42)	(648)
Suspended	(3)	0	(3)
Surrendered	0	(1)	(1)
Revoked	(1)	0	(1)
	(635)	(50)	(685)
In Good Standing 09/30/12	14,636	1,297	15,933
Increase or Decrease	197	(13)	184
<u>Current Status of Licensees</u>			
Active	13,713	1,200	14,913
Inactive	292	33	325
Retired	631	64	695

STATEMENT OF CHANGES GENERAL FIXED ASSETS

OCTOBER 1, 2011 THROUGH SEPTEMBER 30, 2012

UNAUDITED

	Balance October 1, 2011	Additions	Reductions	Balance September 30, 2012
Office Furniture & Equipment	\$214,502.06	\$14,587.00	\$7,261.63	\$221,827.43
Total	\$214,502.06	\$14,587.00	\$7,261.63	\$221,827.43

I, Daniel S. Turner, Secretary of the State Board of Licensure for Professional Engineers and Land Surveyors do hereby certify that to the best of my knowledge and belief, this Financial Statement is a correct statement of the finances of this Board for the period shown:



Daniel S. Turner, Secretary 2011 - 2012

ATTEST:



W. Gerald Wilbanks, Chair 2011-2012

April 17, 2013

To His Excellency
Honorable Robert J. Bentley
Governor, State of Alabama
Montgomery, Alabama

Dear Governor Bentley:

We hand you herewith the Seventy-Seventh Annual Report of the Alabama State Board of Licensure for Professional Engineers and Land Surveyors as provided for under Title 34, Chapter 11, Code of Alabama 1975.

Respectfully submitted,



W. Gerald Wilbanks, Chair 2011-2012
Alabama State Board of Licensure for
Professional Engineers and Land Surveyors

Sworn to and subscribed before me this
The 17th day of April, 2013



Notary Public

STATISTICAL INFORMATION

FISCAL YEAR 2011-2012

ENGINEER INTERNS AND LAND SURVEYOR INTERNS

	ENGINEERING INTERNS	LAND SURVEYING INTERNS	TOTAL
Applications			
Received & Processed	403	7	410
Certifications			
Certificates Issued During the Year	435	10	445
Renewals			
Renewals Processed	1,516	22	1,538
In Good Standing 10/01/2011	1,919	30	1,949
<u>Gains</u>			
Certified	435	10	445
Reinstated	81	2	83
	516	12	528
<u>Losses</u>			
Deceased	0	0	0
Licensed as PE/LS	(117)	(5)	(122)
Did Not Renew	(456)	(9)	(465)
	(573)	(14)	(587)
Increase or Decrease	(57)	(2)	(59)

STATISTICAL INFORMATION

FISCAL YEAR 2011-2012

CERTIFICATES OF AUTHORIZATION

	ENGINEERING	LAND SURVEYING	TOTAL
Applications			
Received & Processed	205	27	232
Certifications			
Certificates of Authority Issued	196	29	225
Renewals			
Renewals Processed	2,116	418	2,534
Certificates Current 10/01/2011	2,258	30	2,705
<u>Gains</u>			
New Certificates	196	29	225
Reinstatements	3	0	3
	199	29	228
<u>Losses</u>			
Lapsed - Did Not Rebnew	(155)	(30)	(185)
Revoked	0	0	0
	(155)	(30)	(185)
Certificates Current 9/30/2012	2,258	30	2,705
Increase or Decrease	44	(1)	43

STATISTICAL INFORMATION

FISCAL YEAR 2011-2012

EXAMINATIONS

	NUMBER GIVEN	NUMBER PASSED	% PASSED	NUMBER FAILED
Engineering Examination I Fundamentals of Engineering 8 Hour Written	888	514	58%	374
Engineering Examination I Principals of Practice 8 Hour Written	457	225	49%	232
Land Surveying Exam I Fundamentals of Land Surveying	30	6	20%	24
Land Surveying Exam II Principals of Practice 8 Hour Written	29	12	41%	17
Alabama Land Surveying Exam III History & Law Plus Public Domain 2 Hours Written	25	17	68%	8

STATISTICAL INFORMATION

FISCAL YEAR 2011-2012

ENFORCEMENT

	LICENSES	NON-LICENSES	TOTAL
<u>Number of Complaints</u>			
10/01/11 - 09/30/2012	26	14	40
Pending from Previous Year	20	10	30
	46	24	70
<u>Formal Hearings Conducted</u>			
Formal Hearings Conducted	2	2	4
Informal Hearings Conducted	0	0	0
Negotiated Settlements	8	4	12
<u>Complaints/Investigations Closed</u>			
<u>No Action Required</u>			
No Action	8	2	10
Letter of Caution	5	4	9
Letter of Reprimand	6	0	6
Forwarded to Other Agency	0	0	0
Complaint Withdrawn	0	0	0
Order	10	6	16
Formal Action	0	0	0
Total Closed	29	12	41
<u>Complaints/Investigations Pending</u>			
Action by Board, Investigator and/or Attorney General as of 09/30/12	17	12	29

STATISTICAL INFORMATION

FISCAL YEAR 2011-2012

BALANCE SHEET - UNAUDITED

	SPECIAL REVENUE FUND 0359	GENERAL ASSET ACCOUNT	TOTAL MEMORANDUM ONLY
Assets			
Cash	\$379,866.41	\$0.00	\$379,866.41
Office Furniture & Equipment	\$0.00	\$221,827.43	\$221,827.43
	\$379,866.41	\$221,827.43	\$601,693.84
Fund Equity			
Investment in General Fixed Assets	\$0.00	\$221,827.43	\$221,827.43
Fund Balance			
Revenue Deferred for October Exams	\$0.00	\$0.00	\$0.00
Reservation of Appropriation Authority for Unpaid Items at Year end	\$69,712.68	\$0.00	\$69,712.68
Unreserved Fun Balance	\$310,153.73	\$0.00	\$310,153.73
	\$379,866.41	\$221,827.43	\$601,693.84

STATEMENT OF REVENUES & EXPENDITURES

CHANGES IN FUND BALANCE, SPECIAL REVENUE FUND 0359

<u>Revenues</u>		<u>Expenditures</u>	
Renewal Fees	808,978.50	Personnel Costs	428,064.07
Penalties	155,892.50	Employee Benefits	143,163.87
Reinstatements	20,245.00	Travel-in-State	24,561.84
Application/License Fees	95,815.00	Travel-out-of-State	16,263.90
Examination Fees	169,370.00	Repairs & Maintenance	2,222.79
Intern Permits	0.00	Rentals and Leases	120,902.10
		Utilities &	
Fines	9,156.92	Communications	21,537.05
Cost of Board Actions	395.80	Professional Services	338,962.33
		Supplies, Mat, &	
Less Civil Penalties	(1,500.00)	Oper Expenses	41,717.48
		Transportation Equip	
Sales of Rosters	0.00	Operations	2,073.79
		Transportation Equip	
Sale of Labels	1,575.00	Purchases	0.00
		Other Equipment	
Duplicate Certificates	325.00	Purchases	14,587.00
Sale of Surplus, Misc.	113.11	Total Expenditures	\$1,154,056.22
		Identified FY 2013	
Returned Check Charge	150.00	Encumbrances	69,712.68
Returned Checks	25.00		
Total Receipts	\$1,260,541.83		
Plus YF 2011 Deferred Revenue	127,765.00		
Total FY 2012 Recognized Revenues	\$1,388,306.83	Total FY 2012 Expenditures & Encumbrances	\$1,223,768.90
Revenues Exceed Expenditures			
Net Increase (Decrease) in Fund Balance During the Year			\$164,537.93
Fund Balance October 1, 2011			\$16,816.18
Plus FY 11 Encumbrances Not Used			128,824.62
Less FY 11 Returned Check			(25.00)
Fund Balance September 30, 2012			\$310,153.73

