



Alabama State Board of Licensure for  
Professional Engineers and Land Surveyors

100 N. Union Street, Suite 382  
Montgomery, Alabama 36104-3762

Mailing Address:  
P.O. Box 304451  
Montgomery, AL 36130-4451

Dr. Robert J. Bentley  
Governor

Regina A. Dinger  
Executive Director

Phone: (334) 242-5568  
Toll Free: (866) 461-7640  
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[www.bels.alabama.gov](http://www.bels.alabama.gov)

May 27, 2011

Ms. Beth Chapman  
Secretary of State  
State House  
Montgomery, AL 36130

Dear Ms. Chapman:

We are enclosing, for your files, a copies of the Seventy-Fifth Annual Report of the Board submitted to the Governor this date.

Yours very truly,

Regina A. Dinger  
Executive Director

/bk

Enclosure

cc: Department of Archives & History  
with copy of Annual Reports



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April 13, 2011

To His Excellency  
Honorable Robert J. Bentley  
Governor, State of Alabama  
Montgomery, Alabama

Dear Governor Bentley:

We hand you herewith the Seventy-Fifth Annual Report of the Alabama State Board of Licensure for Professional Engineers and Land Surveyors as provided for under Title 34, Chapter 11, Code of Alabama 1975.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Wm C Ulrich Jr'.

William C. Ulrich, Jr., Chair, 2009-2010  
Alabama State Board of Licensure  
For Professional Engineers and  
Land Surveyors

**SEVENTY-FIFTH ANNUAL REPORT TO THE GOVERNOR**

**BY THE**

**STATE BOARD OF LICENSURE FOR PROFESSIONAL ENGINEERS**

**AND LAND SURVEYORS**

The Alabama State Board of Licensure for Professional Engineers and Land Surveyors is organized under the provisions of the Alabama Law regulating the Practice of Engineering and Land Surveying, Chapter 11, Code of Alabama 1975. Section 34-11-30 provides that the Board shall have the duty of administering the provisions of the Licensure Law, and shall consist of five professional engineers and two professional land surveyors, each of whom shall be appointed by the Governor from three persons nominated by a committee. Members of the Board serve for staggered five year terms.

Members of the Board, the dates of expiration of their terms of appointment, and their classifications during the year were:

Aloysius Reisz	April 22, 2010	Professional Engineer
Don T. Arkle	April 22, 2011	Professional Engineer
William C. Ulrich, Jr.	April 22, 2012	Professional Engineer
C. Michael Arnold	April 23, 2013	Professional Land Surveyor
W. Gerald Wilbanks	April 22, 2014	Professional Engineer
Daniel S. Turner	July 31, 2014	Professional Engineer
Phillip E. Santora	July 31, 2014	Professional Land Surveyor
Earl R. Foust	April 22, 2015	Professional Engineer

Mr. Foust was appointed on April 22, 2010 to replace Mr. Reisz. Mr. Foust was sworn in to Board Position on May 13, 2010.

The following officers having been elected on July 23, 2009, served for the State fiscal year 2009-2010: Mr. William C. Ulrich, Chair, Mr. Don T. Arkle, Vice-Chair, and Mr. C. Michael Arnold, Secretary.

The following officers were elected on July 16, 2010 for the State fiscal year 2010-2011: Mr. C. Michael Arnold, Chair, Mr. William C. Ulrich, Vice-Chair, and Mr. W. Gerald Wilbanks, Secretary.

During the 2009-2010 fiscal year, the Board of Licensure for Professional Engineers and Land Surveyors held seven regular meetings to review applications, consider complaints and to conduct other regular business.

Statistical information regarding the Professional Engineers, Professional Land Surveyors, Engineer-Interns, Land Surveyor-Interns, Certificates of Authorization, Examinations, and Enforcement is submitted on pages 2-6 of this report.

A complete statement of the receipts and expenditures of the Board during the fiscal year 2009-2010 is submitted on pages 7-9.

**STATISTICAL INFORMATION FOR FISCAL YEAR 2009-2010**

October 1, 2009 - September 30, 2010

**PROFESSIONAL ENGINEERS AND LAND SURVEYORS**

	PE	PLS	TOTAL
<u>APPLICATIONS</u>			
Received and Processed			
By Examination	276	27	303
By Comity	528	0	528
	<u>804</u>	<u>27</u>	<u>831</u>
 <u>LICENSURES</u>			
Certificates Issued			
By Examination	204	30	234
By Comity	605	0	605
	<u>809</u>	<u>30</u>	<u>839</u>
 <u>RENEWALS</u>			
Renewals Processed	13,356	1,277	14,633
Reinstatements Processed	47	4	51
	<u>13,403</u>	<u>1,281</u>	<u>14,684</u>
 <b>IN GOOD STANDING 10/01/09</b>	 <b>13,802</b>	 <b>1,302</b>	 <b>15,104</b>
<u>GAINS</u>			
Licensed	809	30	839
Reinstated	47	4	51
Suspension Lifted	0	0	0
	<u>856</u>	<u>34</u>	<u>890</u>
 <u>LOSSES</u>			
Deceased	(34)	(4)	(38)
Lapsed (Did Not Renew)	(538)	(16)	(554)
Suspended	(2)	0	(2)
Revoked	(2)	(3)	(5)
	<u>(576)</u>	<u>(23)</u>	<u>(599)</u>
 <b>IN GOOD STANDING 09/30/10</b>	 <b>14,082</b>	 <b>1,313</b>	 <b>15,395</b>
 Increase (Decrease)	 280	 11	 291
 Current Status of Licensees			
Active	13,132	1,220	14,352
Inactive	301	39	340
Retired	649	54	703

**STATISTICAL INFORMATION FOR FISCAL YEAR 2009-2010**

October 1, 2009 - September 30, 2010

**ENGINEER INTERNS / LAND SURVEYOR INTERNS**

	<b>Engineer Interns</b>	<b>LS Interns</b>	<b>Total</b>
<u>APPLICATIONS</u>			
Received and Processed	378	15	393
<u>CERTIFICATIONS</u>			
Certificates Issued During the Year	473	14	487
<u>RENEWALS</u>			
Renewals Processed	1,370	23	1,393
<b>In Good Standing 10/01/09</b>	<b>1,525</b>	<b>30</b>	<b>1,555</b>
<u>GAINS</u>			
Certified	473	14	487
Reinstated	0	0	0
<u>LOSSES</u>			
Deceased	0	0	0
Licensed as PE / LS	(167)	(10)	(177)
Did not Renew	(58)	(4)	(62)
<b>In Good Standing 09/30/10</b>	<b>1,773</b>	<b>30</b>	<b>1,803</b>
Increase (Decrease)	248	0	248

**STATISTICAL INFORMATION FOR FISCAL YEAR 2009-2010**  
**October 1, 2009 - September 30, 2010**

**CERTIFICATES OF AUTHORIZATION**

	<b>ENGINEERING</b>	<b>LAND SURVEYING</b>	<b>TOTAL</b>
<b><u>APPLICATIONS</u></b>			
Received and Processed	247	33	280
<b><u>CERTIFICATIONS</u></b>			
Certificates of Authority Issued	236	30	266
<b><u>RENEWALS</u></b>			
Renewals Processed	1,905	401	2,306
<b>CERTIFICATES CURRENT</b>			
<b>OCTOBER 1, 2009</b>	<b>2,059</b>	<b>424</b>	<b>2,483</b>
<b><u>GAINS</u></b>			
New Certificates	236	30	266
Reinstatements	4	2	6
	<hr/> 240	<hr/> 32	<hr/> 272
<b><u>LOSSES</u></b>			
Lapsed - Did Not Renew	(154)	(20)	(174)
Revoked	0	0	0
	<hr/> (154)	<hr/> (20)	<hr/> (174)
<b>CERTIFICATES CURRENT</b>			
<b>SEPTEMBER 30, 2010</b>	<b>2,145</b>	<b>436</b>	<b>2,581</b>
Increase (Decrease)	86	12	98

STATISTICAL INFORMATION FOR FISCAL YEAR 2009-2010  
October 1, 2009 - September 30, 2010

EXAMINATIONS

	<b>Number Given</b>	<b>Number Passed</b>	<b>% Passed</b>	<b>Number Failed</b>
Engineering Examination I Fundamentals of Engineering 8 Hour Written	848	485	57%	363
Engineering Examination II Principles and Practice 8 Hour Written	433	204	47%	229
Land Surveying Exam I Fundamentals of Land Surveying	44	19	43%	25
Land Surveying Exam II Principles and Practice 8 Hour Written	39	19	49%	20
Alabama Land Surveying Exam III History & Law plus Public Domain 2 Hour Written	32	22	69%	10

STATISTICAL INFORMATION FOR FISCAL YEAR 2009-2010

October 1, 2009 - September 30, 2010

**ENFORCEMENT**

	LICENSEES	NON-LICENSEES	EXAMINATION APPLICANT	TOTAL
<b><u>Number of Complaints</u></b>				
Received 10/01/09 - 09/30/10	30	17	2	49
Pending from Previous Year	<u>42</u>	<u>10</u>	<u>0</u>	<u>52</u>
<b>Total</b>	<b>72</b>	<b>27</b>	<b>2</b>	<b>101</b>
<b>Formal Hearings Conducted</b>	2	1	0	3
<b>Informal Hearings Conducted</b>	0	0	0	0
<b>Negotiated Settlements</b>	20	10	0	30
<b><u>Complaints/Investigations Closed</u></b>				
<b>Informal Action</b>				
No Action Required	21	8	1	30
Letter of Caution	9	1	0	10
Letter of Reprimand	2	0	0	2
Complaint Withdrawn	0	0	0	0
<b>Formal Action</b>	28	12	1	41
<b>Total Closed</b>	<b>60</b>	<b>21</b>	<b>2</b>	<b>83</b>
<b><u>Complaints/Investigations Pending</u></b>				
Action by Board, Investigator and/or Attorney General as of September 30, 2010	12	6		18



STATISTICAL INFORMATION FOR FISCAL YEAR 2009-2010

October 1, 2009 - September 30, 2010

Balance Sheet (Cash Basis)

September 30, 2010

Unaudited

	<u>Special Revenue Fund 0359</u>	<u>General Asset Account</u>	<u>Total Memorandum Only</u>
<b><u>ASSETS</u></b>			
Cash	\$351,743.57		\$351,743.57
Office Furniture and Equipment		\$214,502.06	\$214,502.06
	<b>\$351,743.57</b>	<b>\$214,502.06</b>	<b>\$566,245.63</b>
<b><u>FUND EQUITY</u></b>			
Investment in General Fixed Assets		\$214,502.06	\$214,502.06
<b><u>FUND BALANCE</u></b>			
Revenue Deferred for October Exams	\$128,415.00		\$128,415.00
Reservation of Appropriation Authority for Unpaid Items At Year End	\$144,593.23		\$144,593.23
Unreserved Fund Balance	\$78,735.34		\$78,735.34
	<b>\$351,743.57</b>	<b>\$214,502.06</b>	<b>\$566,245.63</b>

**STATEMENT OF REVENUES & EXPENDITURES**  
**and Changes in Fund Balance, Special Revenue Fund 0359**  
**October 1, 2009 through September 30, 2010**  
**Unaudited**

**Revenues**

Renewal Fees	680,451.50		
Penalties	103,990.50		
Reinstatements	18,900.00		
Application/Licensure Fees	71,060.00		
Examination Fees	268,280.00		
Interim Permits	0.00		
Fines	24,705.40		
Cost of Board Actions	2,477.61		
Less Civil Penalties	(12,505.40)		
Sale of Rosters	425.00		
Sale of Labels	1,650.00		
Duplicate Certificates	0.00		
Sale of Surplus, Misc.	100.00		
Returned Check Charge	450.00		
Less Returned Checks	100.00		
Total Receipts		\$1,160,084.61	
Plus FY 2009 Deferred Revenue		107,870.00	
Less FY 2011 Exam Receipts Deferred		(128,415.00)	
<b>Total FY 2010 Recognized Revenues</b>			<b>\$1,139,539.61</b>

**Expenditures**

Personnel Costs	448,147.76		
Employee Benefits	160,516.83		
Travel-in-State	18,156.05		
Travel-out-of-State	28,254.21		
Repairs and Maintenance	1,233.47		
Rentals and Leases	113,739.14		
Utilities and Communications	38,994.68		
Professional Services	301,384.28		
Supplies, Mat, & Oper Expenses	57,858.22		
Transportation Equip Operations	566.49		
Transportation Equip Purchases	0.00		
Other Equipment Purchases	542.64		
Total Expenditures		\$1,169,393.77	
Identified FY 2010 Encumbrances		144,593.23	
<b>Total FY 2010 Expenditures &amp; Encumbrances</b>			<b>\$1,313,987.00</b>

**Revenues Exceed Expenditures**

**Net Increase (Decrease) in Fund Balance During the Year** **(\$174,447.39)**

<b>Fund Balance October 1, 2009</b>	<b>\$172,559.02</b>
Plus FY 09 Encumbrances Not Used	<b>80,602.23</b>
Plus Sale of Surplus	<b>21.48</b>
<b>Fund Balance September 30, 2010</b>	<b>\$78,735.34</b>

**Statement of Changes in  
General Fixed Assets  
October 1, 2009 through September 30, 2010**

*Unaudited*

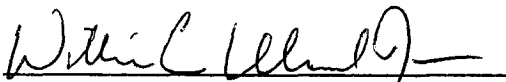
	Balance October 1, 2009	Additions	Reductions	Balance September 30, 2010
Office Furniture and Equipment	<u>\$224,381.40</u>	\$542.64	\$10,421.98	<u>\$214,502.06</u>
Total	<u>\$224,381.40</u>	<u>\$542.64</u>	<u>\$10,421.98</u>	<u>\$214,502.06</u>

I, C. Michael Arnold, Secretary of the State Board of Licensure for Professional Engineers and Land Surveyors do hereby certify that to the best of my knowledge and belief, this Financial Statement is a correct statement of the finances of this Board for the period shown:



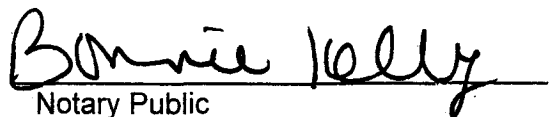
C. Michael Arnold, Secretary 2009 - 2010

ATTEST:



William C. Ulrich, Jr., Chair 2009-2010

Sworn to and subscribed before me this  
The 13th day of April, 2011



Notary Public